

Problems in NP can Admit Double-Exponential Lower Bounds when Parameterized by Treewidth or Vertex Cover

Florent Foucaud, Esther Galby, Liana Khazaliya,
Shaohua Li, Fionn Mc Inerney, Roohani Sharma, Prafullkumar Tale

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Part 1.

(In)tractability and Treewidth

Intractable problems and approaches

Fixed-parameter tractability is a framework to deal with intractable problems:

- Choose a complexity parameter k independent of the input size n
- Find an OPT solution in time $f(k) \cdot n^{\mathcal{O}(1)}$ for some function f

Develop algorithms for graphs which are large but have a small solution size
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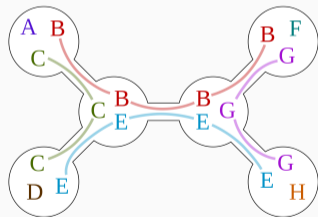
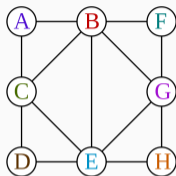
Treewidth

Def. A **tree decomposition** of G is a pair $\mathcal{T} = (T, \{X_t\}_{t \in V(T)})$, where T is a tree whose every node t is assigned a vertex subset $X_t \subseteq V(G)$, called a **bag**, with following conditions:

- T1. $\bigcup_{t \in V(T)} X_t = V(G)$;
- T2. For every $vw \in E(G)$, there exists a node t of T such that bag X_t contains both v and w ;
- T3. For every $v \in V(G)$, the set $T_v = \{t \in V(T) \mid v \in X_t\}$ induces a connected subtree of T .

Def. The **width** of \mathcal{T} is $\max_{t \in V(T)} |X_t| - 1$.

Def. The **treewidth** $\text{tw}(G)$ is the **minimum** width over all tree decompositions of G .



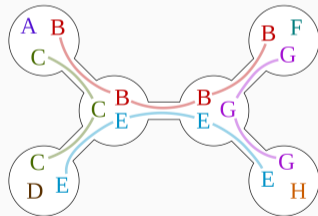
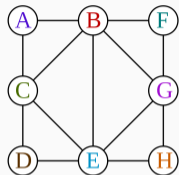
Treewidth

The **treewidth** of a graph G is

$$\min \{ \omega(G^+) - 1 : G^+ \supseteq G \text{ and } G^+ \text{ is chordal} \}$$

The Cops-and-Robber Game

Treewidth is at most t if and only if $t + 1$ cops can always catch the robber in G in a monotone game if the robber is *visible* (to the cop player)



$$\text{tw}(K_n) = n - 1$$

$$\text{tw}(P_n \times P_m) = \min(m, n)$$

$$\text{tw}(T) = 1$$

Treewidth

Many **NP-hard** problems are **FPT** parameterized by **treewidth** via dynamic programming on the tree decomposition.

For a given signature τ , **monadic second order logic** has

- element-variables (x, y, z, \dots) and set-variables (X, Y, Z, \dots)
- relations $=$ (equation) and $x \in X$ (membership), as well as relations from τ
- quantifiers \exists and \forall , as well as operators \wedge, \vee, \neg

If φ is a sentence, we write $G \models \varphi$ to indicate that φ holds on G (i.e., G is a model of φ)

Theorem

[Courcelle'90]

For a MSO_1 sentence φ and graph G one can decide whether $G \models \varphi$ in time $f(\text{tw}(G), |\varphi|)n$ for some function f .

Conditional Lower Bounds

Exponential Time Hypothesis (ETH)

[Impagliazzo, Paturi, 1990]

Roughly, 3-SAT on n variables cannot be solved in time $2^{o(n)}$.

Conditional lower bounds for tw are usually $2^{o(tw)}$, $2^{o(tw \log tw)}$ or $2^{o(\text{poly}(tw))}$.

Rarer results: Unless the ETH fails,

- QSAT WITH k ALTERNATIONS admits a lower bound of a **tower of exponents** of height k in the **treewidth** of the primal graph PSPACE-complete [Fichte, Hecher, Pfandler, 2020]
- k -CHOOSABILITY and k -CHOOSABILITY DELETION admit **double-** and **triple-exponential** lower bounds in **treewidth**, respectively Π_2^P -complete and Σ_3^P -complete [Marx, Mitsou, 2016]
- $\exists\forall$ -CSP admits a **double-exponential** lower bound in the **vertex cover number** Σ_2^P -complete [Lampis, Mitsou, 2017]

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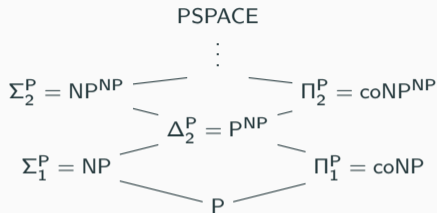
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Conditional Lower Bounds

Question.

Does any NP-complete problem require at least double-exponential running time?



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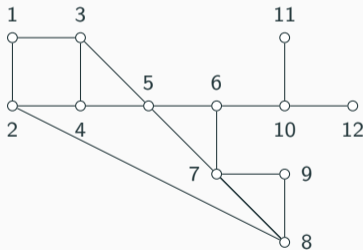
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Part 2.

Metric Graph Problem(s)

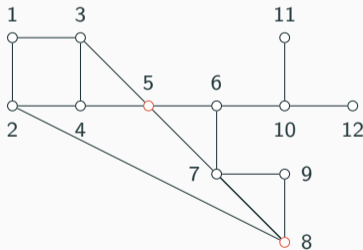
Def. A **resolving set** is a $S \subseteq V(G)$ such that $\forall u, v \in V, \exists z \in S$ with $d(z, u) \neq d(z, v)$.

Def. The minimum size of a resolving set of G is the **metric dimension** of G .



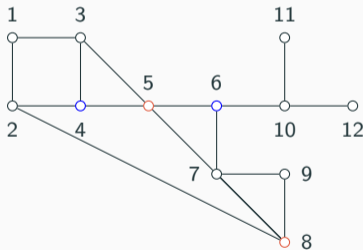
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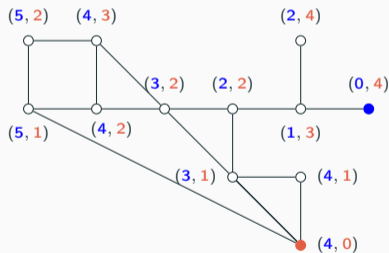
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Vertices 4 and 6 are **not** resolved by 5 nor 8.

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Def. The minimum size of a resolving set of G is the **metric dimension** of G .



Observation. For any twins $u, v \in V(G)$ and any resolving set S of G , $S \cap \{u, v\} \neq \emptyset$.

Metric Dimension (MDim)

METRIC DIMENSION

Input: An undirected simple graph G and a positive integer k

Question: Is $\text{md}(G) \leq k$?

Polynomial-time

Trees [Slater'75]

Cographs [Epstein et al'15]

Outerplanar [Diaz et al'17]

NP-complete

Arbitrary [Garey, Johnson'79]

Split [Epstein et al'15]

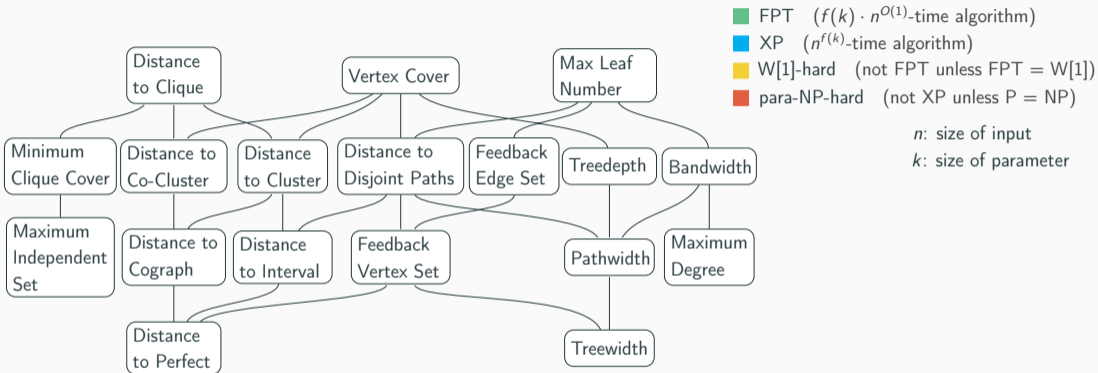
Bipartite [Epstein et al'15]

Co-bipartite [Epstein et al'15]

Planar [Diaz et al'17]

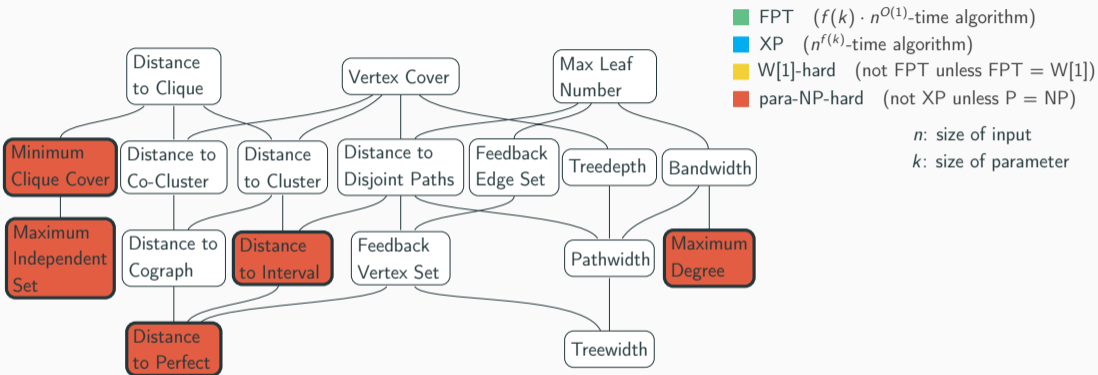
Interval [Foucaud et al'17]

Parameterized complexity of Metric Dimension



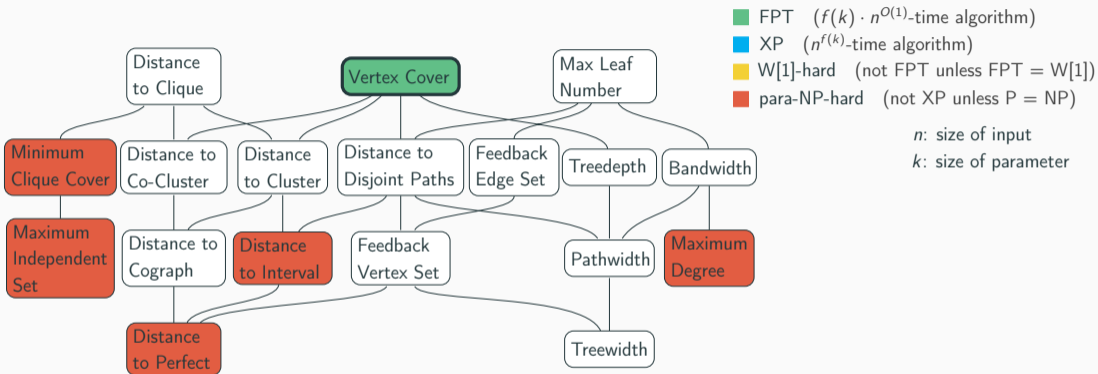
A lower parameter is upper bounded by a function of the higher one

Parameterized complexity of Metric Dimension



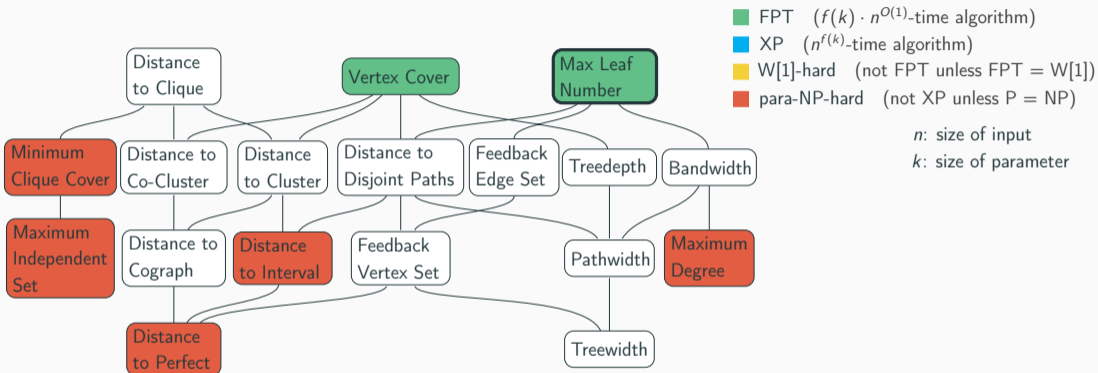
From NP-hardness results on previous slide

Parameterized complexity of Metric Dimension



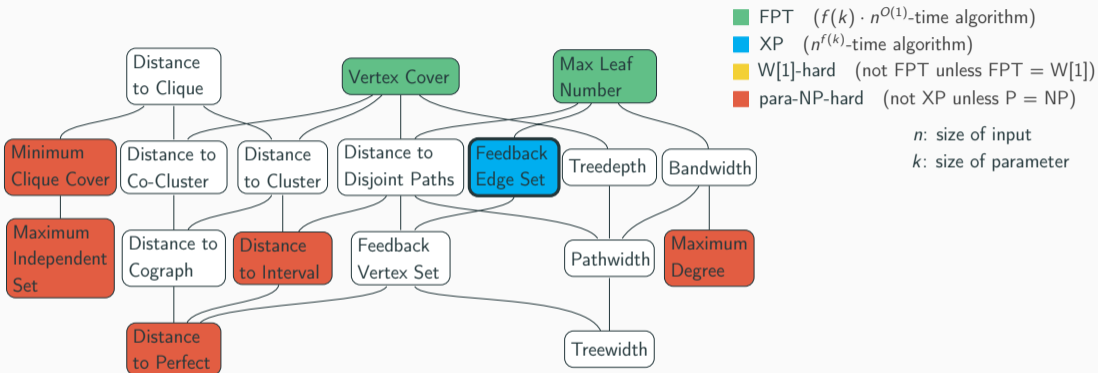
W[2]-hard parameterised by solution size [Hartung, Nichterlein '13]

Parameterized complexity of Metric Dimension



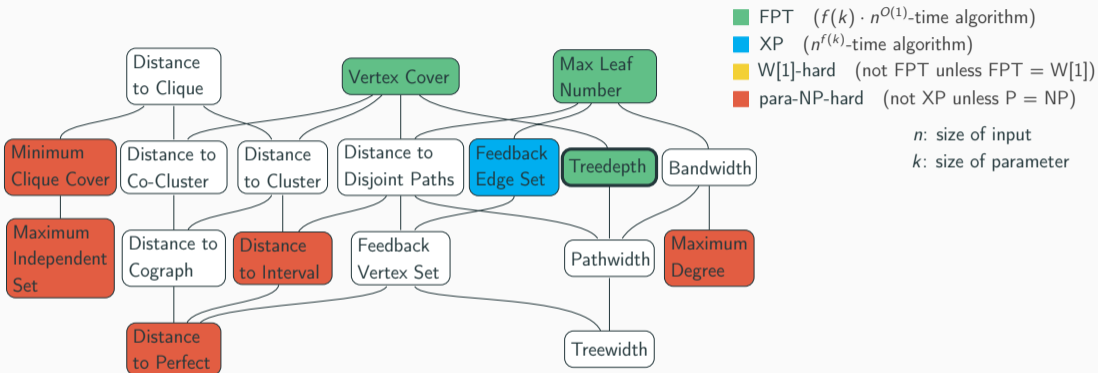
[Eppstein '15]

Parameterized complexity of Metric Dimension



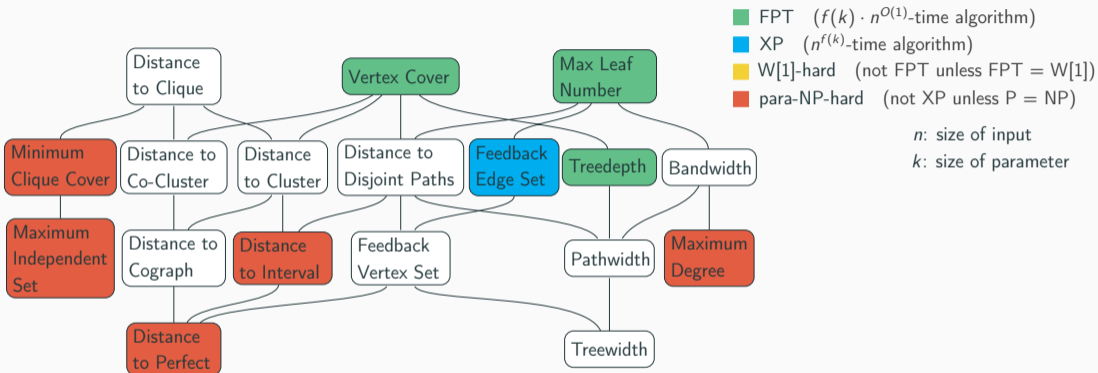
[Epstein et al '15]

Parameterized complexity of Metric Dimension



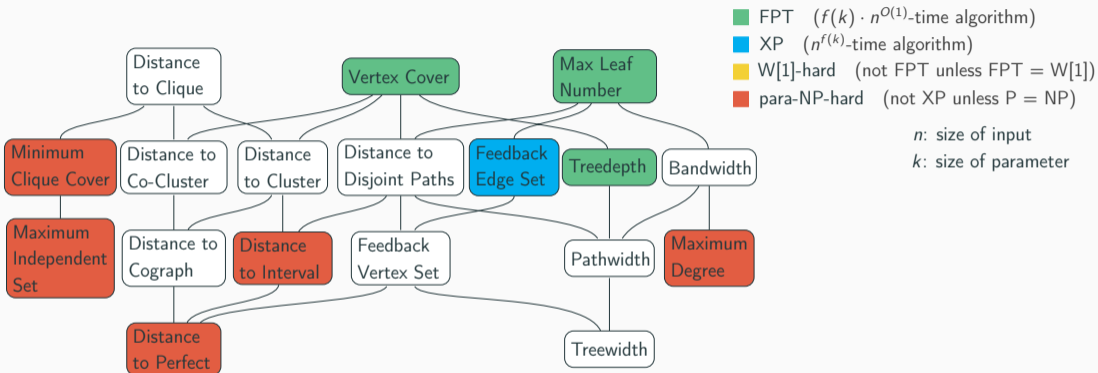
[Gima et al '21]

Parameterized complexity of Metric Dimension



FPT parameterised by treelength + max degree [Belmonte et al '17]
and clique-width + diameter [Gima et al '21]

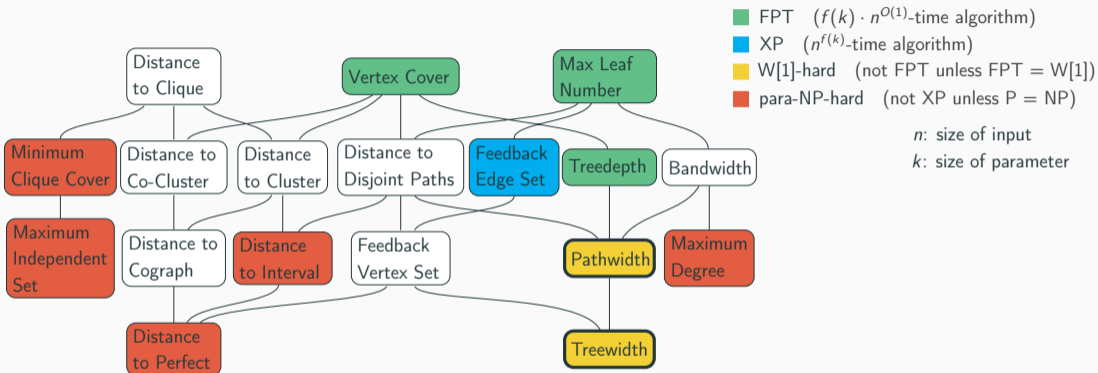
Parameterized complexity of Metric Dimension



Q1: Complexity parameterised by **Feedback Vertex Set**? [Hartung, Nichterlein '13]

Q2: Complexity parameterised by **treewidth**? [Eppstein '15], [Belmonte et al '17], [Díaz et al '17]

Parameterized complexity of Metric Dimension

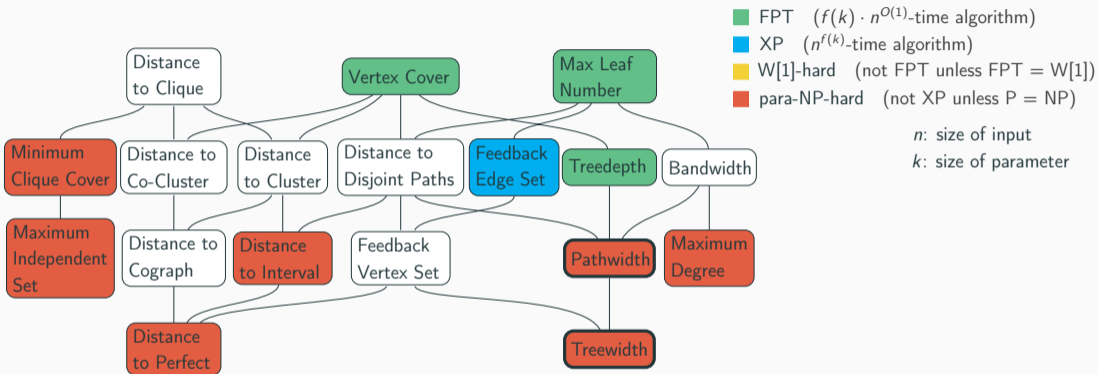


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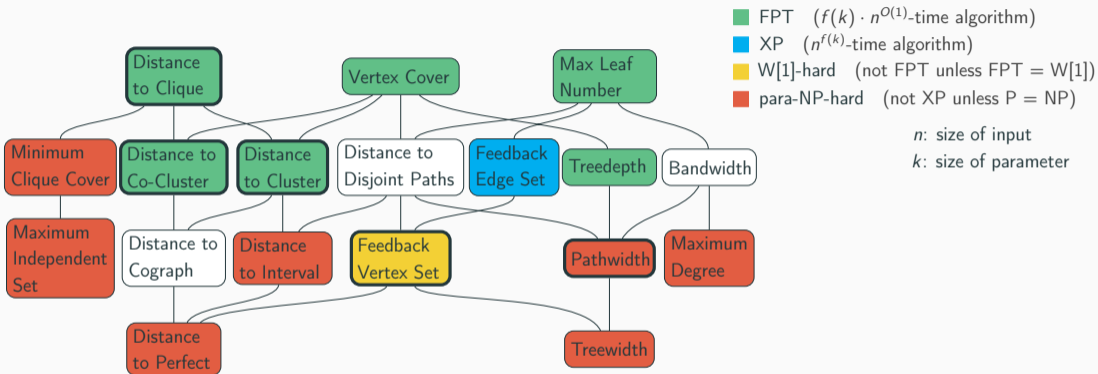


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Q2 answered first by [Bonnet, Purohit '21]. Then, improved by [Li, Pilipczuk '22]

Parameterized complexity of Metric Dimension



Q1: Complexity parameterised by **Feedback Vertex Set**? [Hartung, Nichterlein '13]

Q2: Complexity parameterised by **treewidth**? [Eppstein '15], [Belmonte et al '17], [Díaz et al '17]

Q1 answered for the combined parameter Feedback Vertex Set + Pathwidth

[Galby, Khazaliya, Mc Inerney, Sharma, Tale '23]

Part 3.

Our Technique and MDim

Theorem

[FGKLMST, 2024]

METRIC DIMENSION and GEODETIC SET

- can be solved in $2^{\text{diam}^{\mathcal{O}(\text{tw})}} \cdot n^{\mathcal{O}(1)}$ time
- no $2^{f(\text{diam})^{\mathcal{O}(\text{tw})}} \cdot n^{\mathcal{O}(1)}$ time algorithm assuming ETH

Theorem

[FGKLMST, 2024]

STRONG METRIC DIMENSION

- can be solved in $2^{2^{\mathcal{O}(\text{vc})}} \cdot n^{\mathcal{O}(1)}$ time, admits $2^{\mathcal{O}(\text{vc})}$ kernel
- no $2^{2^{\mathcal{O}(\text{vc})}} \cdot n^{\mathcal{O}(1)}$ time algorithm, or $2^{\mathcal{O}(\text{vc})}$ kernel, assuming ETH

3-Partitioned 3-SAT

3-PARTITIONED 3-SAT

[LAMPIS, MELISSINOS, VASILAKIS, 2023]

Input: 3-CNF formula ϕ with a partition of its variables into 3 disjoint sets X^α , X^β , and X^γ such that $|X^\alpha| = |X^\beta| = |X^\gamma| = n$ and each clause contains at most one variable from each of X^α , X^β , and X^γ

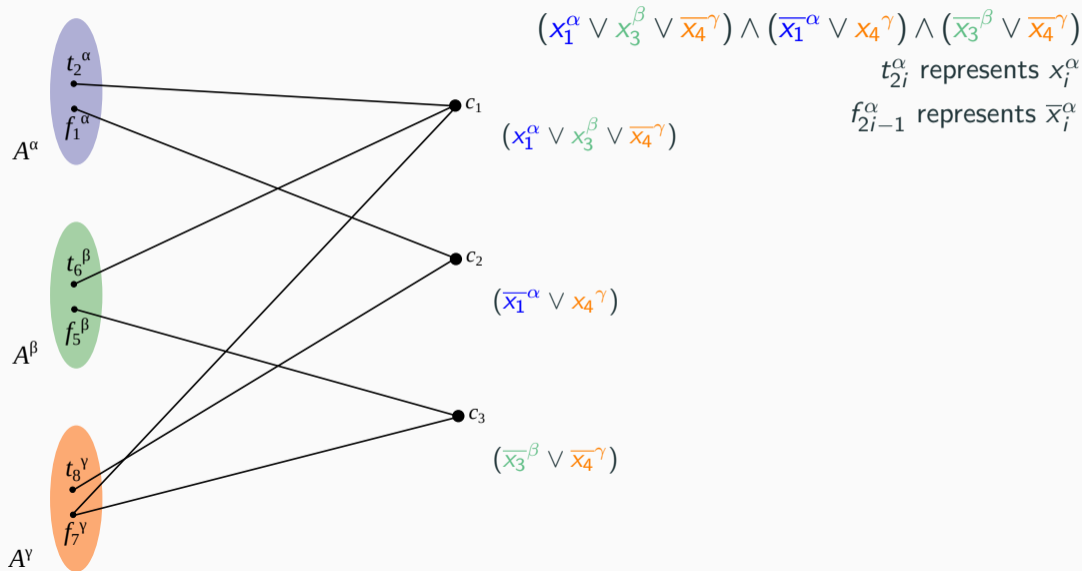
Question: Is ϕ satisfiable?

Theorem

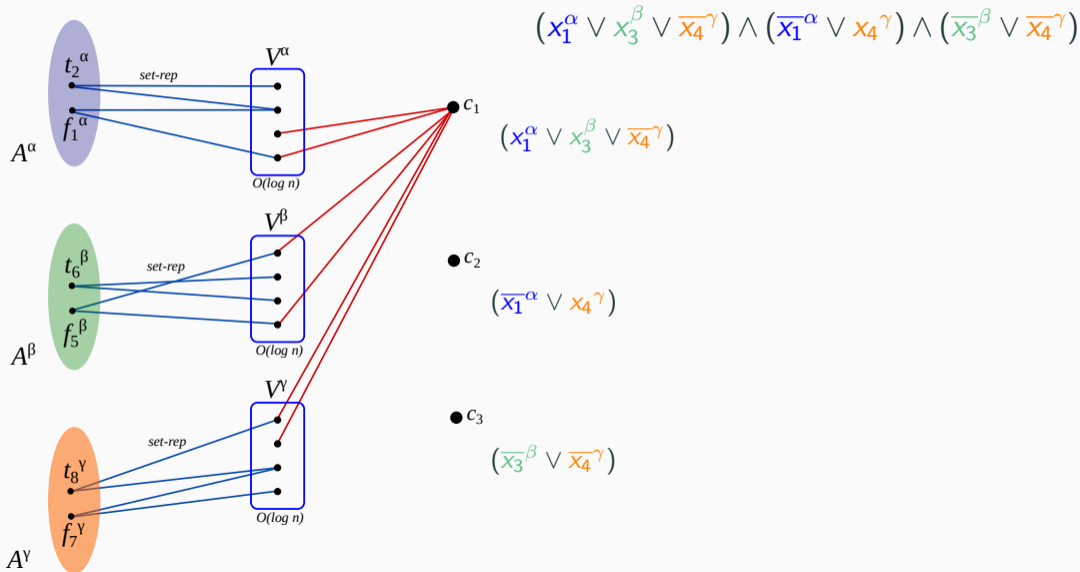
[Lampis, Melissinos, Vasilakis, 2023]

3-PARTITIONED 3-SAT: no $2^{o(n)}$ time algorithm assuming ETH

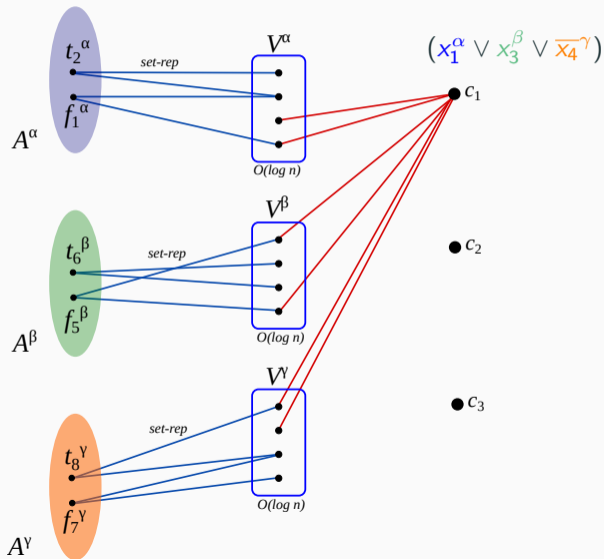
Encode SAT with small separator



Set-Representation Gadget



Set-Representation Gadget



Let F_p be the collection of subsets of $\{1, \dots, 2p\}$ that contain exactly p integers.

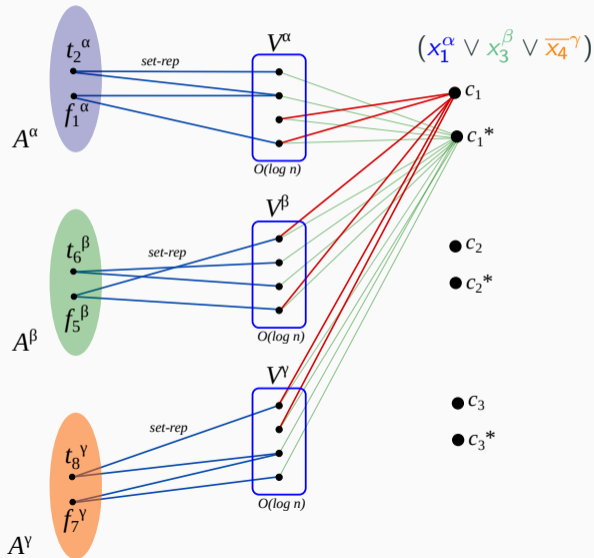
No set in F_p is contained in another set in F_p (**Sperner family**).

There exists $p = O(\log n)$ s.t. $\binom{2p}{p} \geq 2n$.
We define a 1-to-1 function

$$\text{set-rep} : \{1, \dots, 2n\} \rightarrow F_p.$$

t_2^α is the **only** vertex in A^α that **does not** share a **common neighbour** with $c_1 = (x_1^\alpha \vee x_3^\beta \vee \overline{x_4}^\gamma)$

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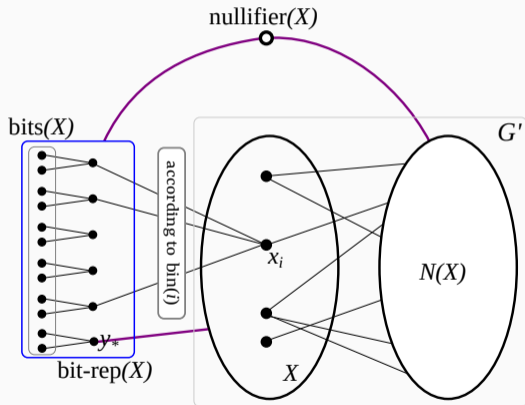
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Bit-representation Gadget

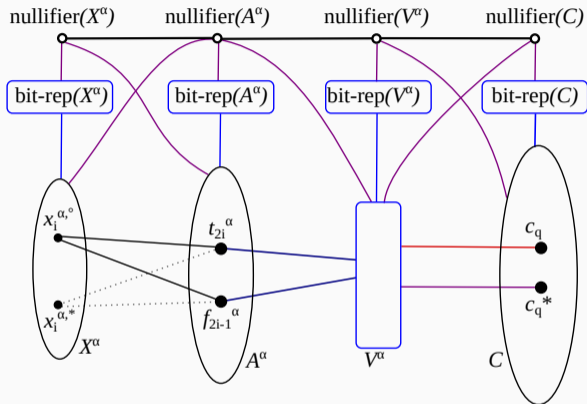
Observation. For any twins $u, v \in V(G)$ and any resolving set S of G , $S \cap \{u, v\} \neq \emptyset$.



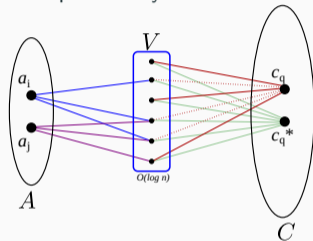
Purple edges represent all possible edges

- For any resolving set S , $|S \cap \text{bits}(X)| \geq \log(|X|) + 1$
- $|S \cap \text{bits}(X)|$ distinguishes each vertex in $X \cup \text{bit-rep}(X)$ from every other vertex in G
- $\text{nullifier}(X)$ guarantees that the rest part of $V(G)$ does not affected by the gadget

Lower bound for Metric Dimension parameterized by tw



Purple — all possible edges
 Blue — set-rep
 Red — complementary to blue



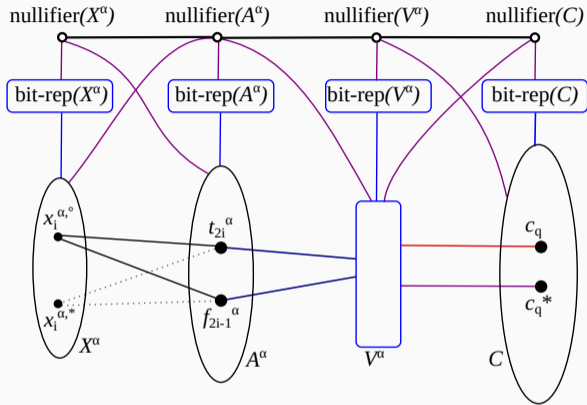
Note: $tw(G) = \log(n)$
 $diam(G) = \text{const}$

Theorem

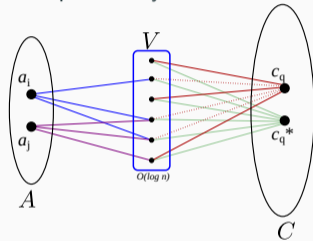
[FGKLMST, 2024]

METRIC DIMENSION: no $2^{f(\text{diam})^{O(tw)}} \cdot n^{O(1)}$ time algorithm assuming ETH

Lower bound for Metric Dimension parameterized by tw



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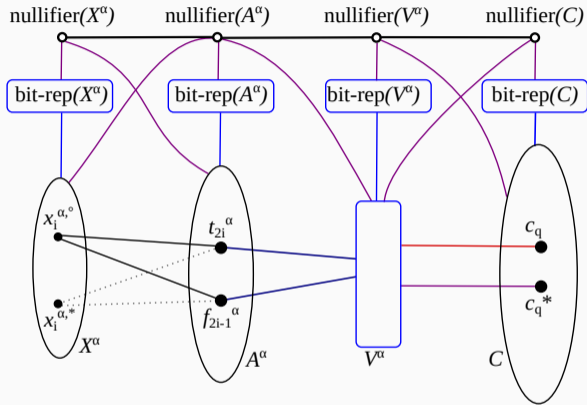
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Theorem

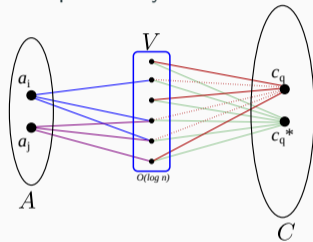
[FGKLMST, 2024]

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Lower bound for Metric Dimension parameterized by tw



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Note: $tw(G) = \log(n)$
 $diam(G) = \text{const}$

Theorem

[FGKLMST, 2024]

METRIC DIMENSION: no $2^{f(\text{diam})^{o(tw)}} \cdot n^{O(1)}$ time algorithm assuming ETH

Part 4.

Other Results and Applications

Geodesic Set and Strong MDim

GEODETIC SET

Input: An undirected simple graph G

Question: Does there exist $S \subseteq V(G)$ such that $|S| \leq k$ and, for any vertex $u \in V(G)$, there are two vertices $s_1, s_2 \in S$ such that a shortest path from s_1 to s_2 contains u ?

Theorem

[FGKLMST, 2024]

GEODETIC SET

- no $2^{f(\text{diam})^{o(\text{tw})}} \cdot n^{O(1)}$ time algorithm assuming ETH

Strong Metric Dimension

STRONG METRIC DIMENSION

Input: An undirected simple graph G

Question: Does there exist $S \subseteq V(G)$ such that $|S| \leq k$ and, for any pair of vertices $u, v \in V(G)$, there exists a vertex $w \in S$ such that either u lies on some shortest path between v and w , or v lies on some shortest path between u and w ?

Theorem

[FGKLMST, 2024]

STRONG METRIC DIMENSION

- no $2^{2^{o(vc)}} \cdot n^{O(1)}$ time algorithm, or $2^{o(vc)}$ kernel, assuming ETH

Match with the Algorithms

Theorem

[FGKLMST, 2024]

METRIC DIMENSION and GEODETIC SET

- can be solved in $2^{\text{diam}^{\mathcal{O}(\text{tw})}} \cdot n^{\mathcal{O}(1)}$ time
- no $2^{f(\text{diam})^{\mathcal{O}(\text{tw})}} \cdot n^{\mathcal{O}(1)}$ time algorithm assuming ETH

Theorem

[FGKLMST, 2024]

STRONG METRIC DIMENSION

- can be solved in $2^{2^{\mathcal{O}(\text{vc})}} \cdot n^{\mathcal{O}(1)}$ time, admits $2^{\mathcal{O}(\text{vc})}$ kernel
- no $2^{2^{\mathcal{O}(\text{vc})}} \cdot n^{\mathcal{O}(1)}$ time algorithm, or $2^{\mathcal{O}(\text{vc})}$ kernel, assuming ETH

Applications of the Technique

Theorem

[Chalopin, Chepoi, Mc Inerney, Ratel, COLT 2024]

POSITIVE NON-CLASHING TEACHING DIMENSION for Balls in Graphs

- no $2^{2^{o(vc)}} \cdot n^{O(1)}$ time algorithm, or $2^{o(vc)}$ kernel, assuming ETH

Theorem

[Chakraborty, Foucaud, Majumdar, Tale, 2024]

LOCATING-DOMINATING SET (resp., TEST COVER)

- no $2^{2^{o(tw)}} \cdot n^{O(1)}$ (resp., $2^{2^{o(tw)}} (|U| + |\mathcal{F}|)^{O(1)}$) time algorithm assuming ETH

Part 5.

Open Problems

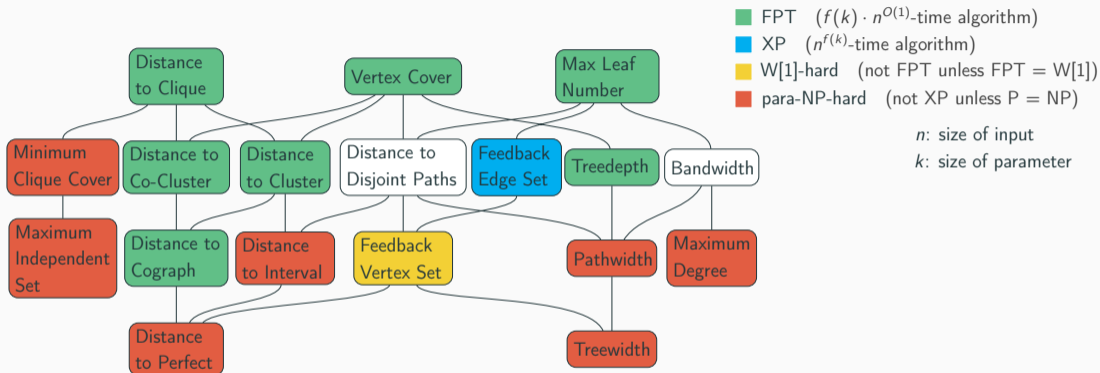
Open Questions

Q1: Are there certain properties shared by distance-based graph problems, that imply such running times? Is there a possible way to generalize our approach to a broader class of problems.

Q2: For which classic problems in NP are the best known FPT algorithms parameterized by tw , vc (or other parameters) double-exponential?

Q3: For which classic problems do the best known kernelization algorithms output a kernel with $2^{O(vc)}$ vertices?

... and for Metric Dimension



Q4: XP or para-NP-hard parameterised by Feedback Vertex Set?

Q5: W[1]-hard or FPT parameterised by Feedback Edge Set?

Q6: Distance to Disjoint Paths? Bandwidth?

Thank you for your attention!

Further directions

- Q1: Are there certain properties shared by distance-based graph problems, that imply such running times? Is there a possible way to generalize our approach to a broader class of problems.
- Q2: For which classic problems in NP are the best known FPT algorithms parameterized by tw , vc (or other parameters) double-exponential?
- Q3: For which classic problems do the best known kernelization algorithms output a kernel with $2^{O(vc)}$ vertices?

For Metric Dimension:

- Q4: XP or para-NP-hard parameterised by Feedback Vertex Set?
- Q5: W[1]-hard or FPT parameterised by Feedback Edge Set?
- Q6: Distance to Disjoint Paths? Bandwidth?

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