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# On the Complexity of Recognizing $k^+$ -real face Graphs

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# Acknowledgments



Dagstuhl seminar  
Beyond-Planar Graphs,  
February 4-9, 2024



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example of a  $6^+$ -real face picture

....





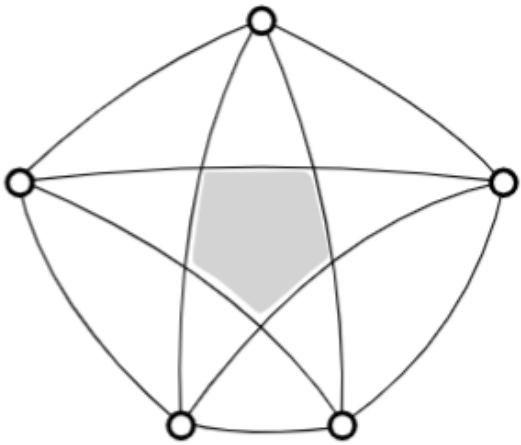
... but  $k^+$ -real face graphs are  
different objects

# Outline

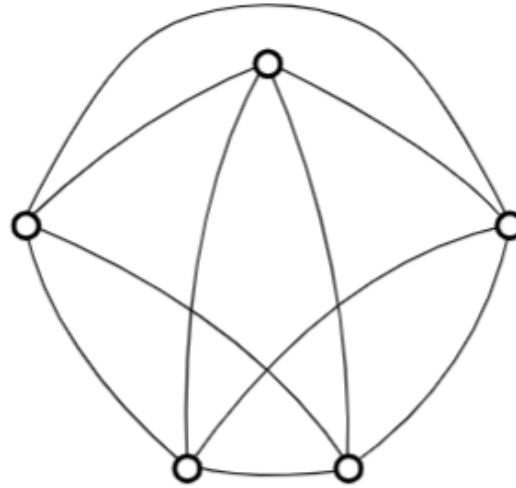
- $k^+$ -real face graphs
  - Definition
  - State of the art
  - Recognition problem
- Contribution
- Open problems

# Definition

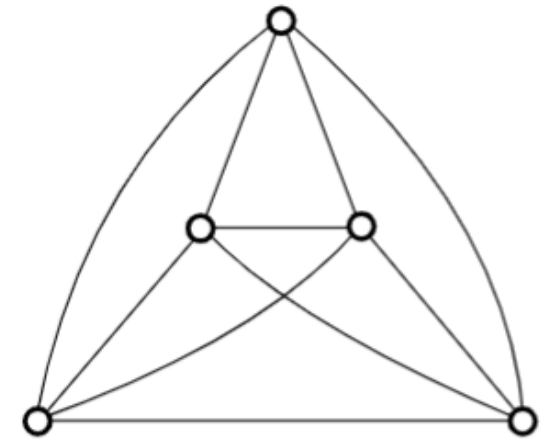
$k^+$ -real face drawing = at least  $k$  vertices per face (cell)



not  $k^+$ -real face  
drawing



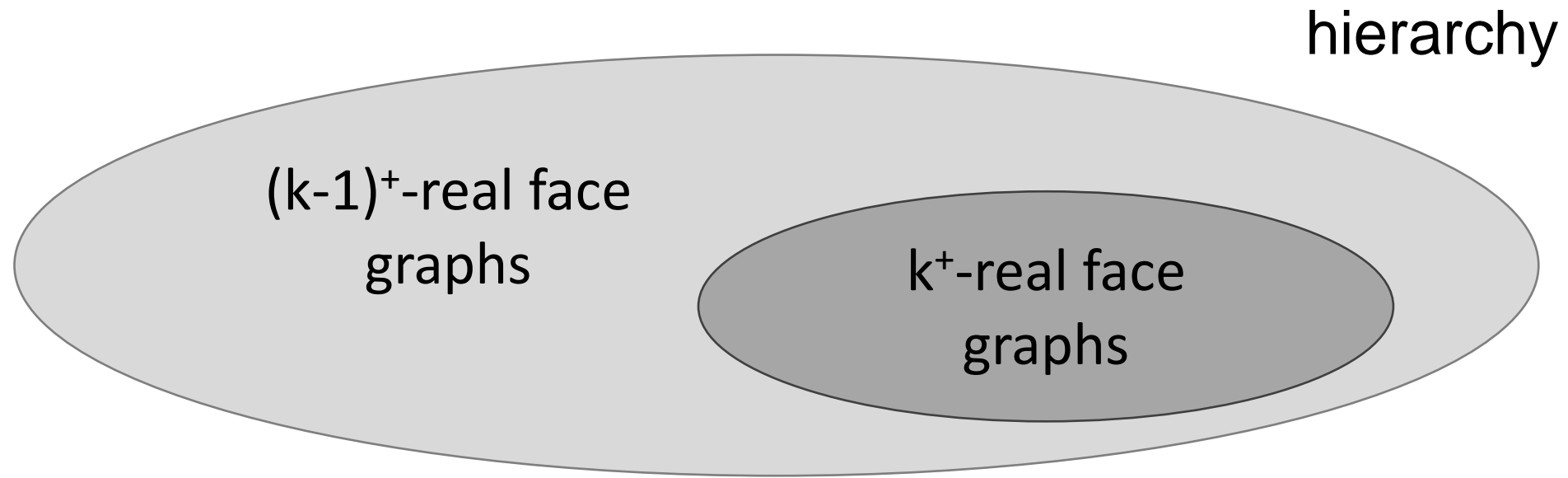
$1^+$ -real face  
drawing



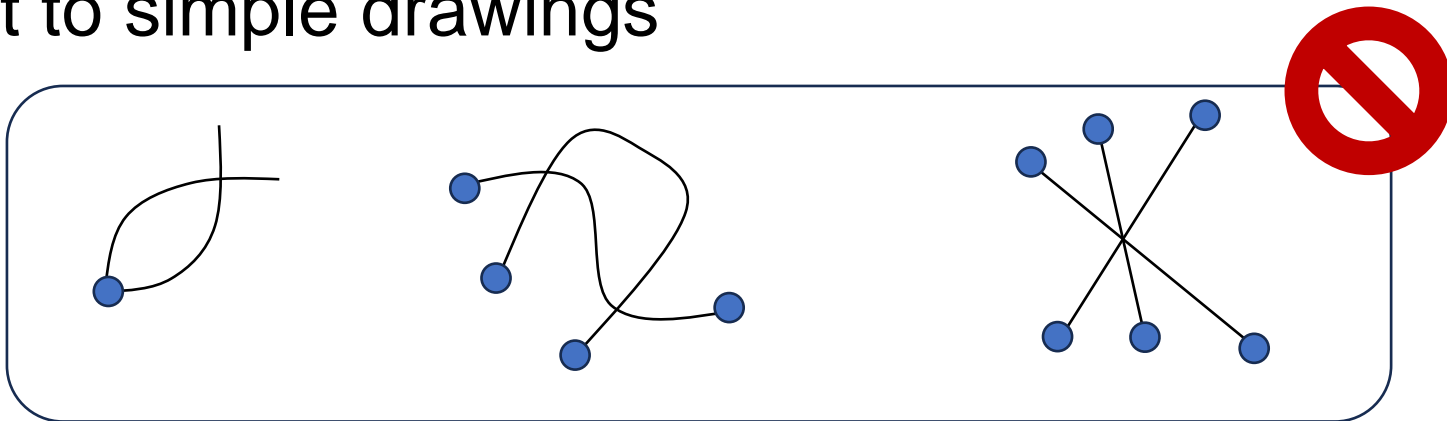
$2^+$ -real face  
drawing



# Observations



we restrict to simple drawings



# State of the art

- Binucci, Di Battista, D., Hong, Kaufmann, Liotta, Morin, Tappini - WG 2023
- Binucci, Di Battista, D., Dujmovic, Hong, Kaufmann, Liotta, Morin, Tappini – IEEE Access 2024

edge density

Graph Family	Crossings ( $\chi \leq$ )	Edges ( $m \leq$ )
$k^+$ -real face graphs ( $k \geq 3$ )	$\frac{2-k}{k} \cdot m + n - 2$	$\frac{k}{k-2}(n - 2)$
$2^+$ -real face graphs	$n - 2$	$4n - 8$
$1^+$ -real face graphs	$m + n - 2$	$5n - 10$
outer $k^+$ -real face graphs ( $k \geq 3$ )	$\frac{2-k}{k} \cdot m + \frac{k-1}{k} \cdot n - 1$	$\frac{k-1}{k-2} \cdot n - \frac{k}{k-2}$
outer $2^+$ -real face graphs	$\frac{1}{2}n - 1$	$2.5n - 4$
outer $1^+$ -real face graphs	$m - 1$	$3n - 6$

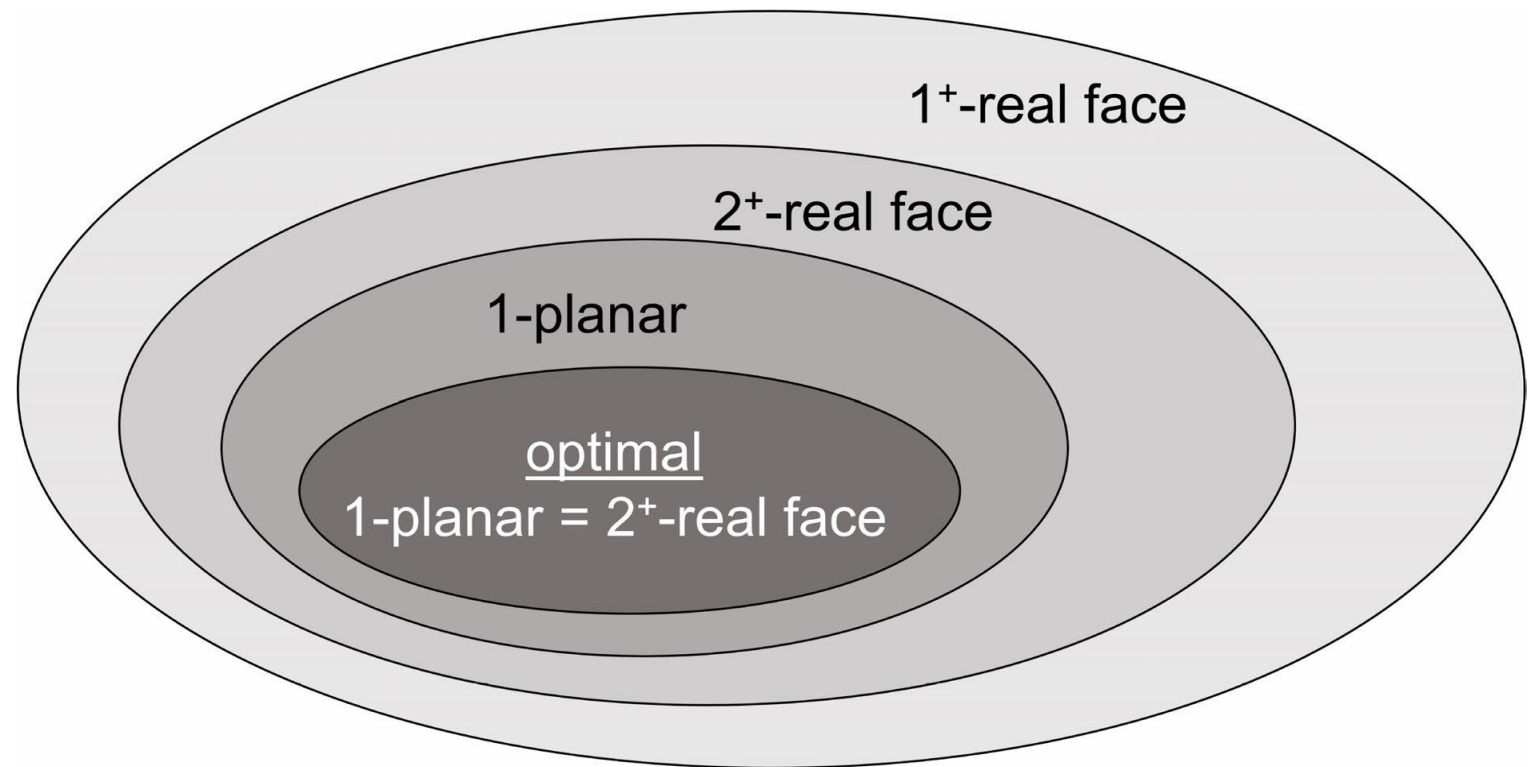
# State of the art

- Binucci, Di Battista, D., Hong, Kaufmann, Liotta, Morin, Tappini - WG 2023
- Binucci, Di Battista, D., Dujmovic, Hong, Kaufmann, Liotta, Morin, Tappini – IEEE Access 2024

some relationships

**open:**

are there 2-planar graphs that are not  $1^+$ -real face?





# The recognition problem

- **Problem.** Given a graph  $G$  and a positive integer  $k$ , decide whether  $G$  is  $k^+$ -real face
  - recognition algorithms exist only for complete graphs and complete bipartite graphs, based on edge density and crossing number  
[Binucci Di Battista, D., Dujmovic, Hong, Kaufmann, Liotta, Morin, Tappini – IEEE Access 2024]

# Contribution

- **General setting**

- the recognition problem is NP-hard; namely, deciding whether a graph is  $k^+$ -real face (for  $k=1$  or  $k=2$ ) is NP-complete, even for biconnected graphs – reduction from 3-PARTITION

- **2-layer setting**

- edge density results for each positive integer  $k$
- linear-time recognition algorithms for *optimal*  $k^+$ -real face graphs when  $k \geq 1$
- linear-time recognition algorithms for  $k^+$ -real face graphs when  $k \geq 2$

# Contribution

- **General setting**

- the recognition problem is NP-hard; namely, deciding whether a graph is  $k^+$ -real face (for  $k=1$  or  $k=2$ ) is NP-complete, even for biconnected graphs – reduction from 3-PARTITION

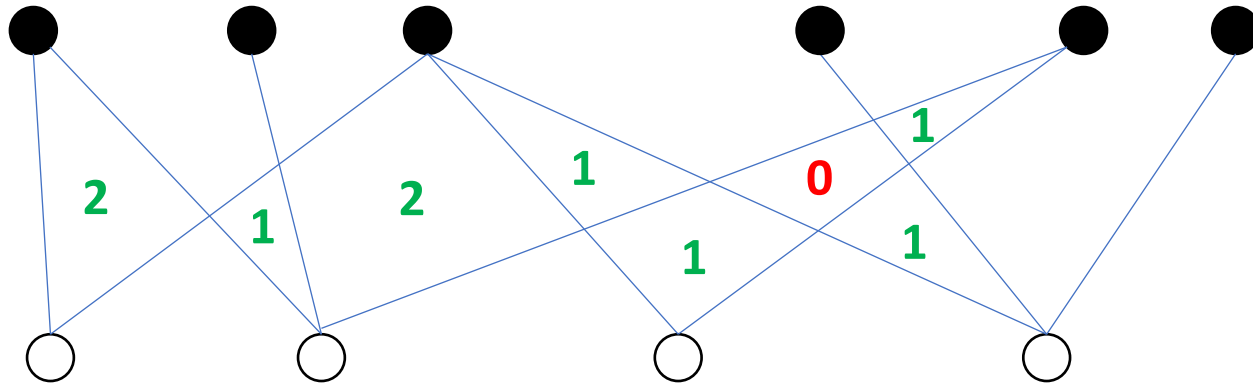
- **2-layer setting**

in this talk

- edge density results for each positive integer  $k$
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- linear-time recognition algorithms for  $k^+$ -real face graphs when  $k \geq 2$



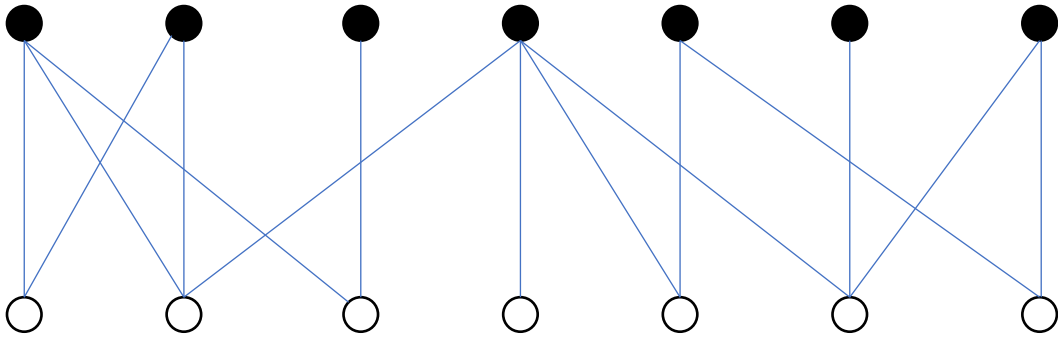
# 2-layer drawings: observations



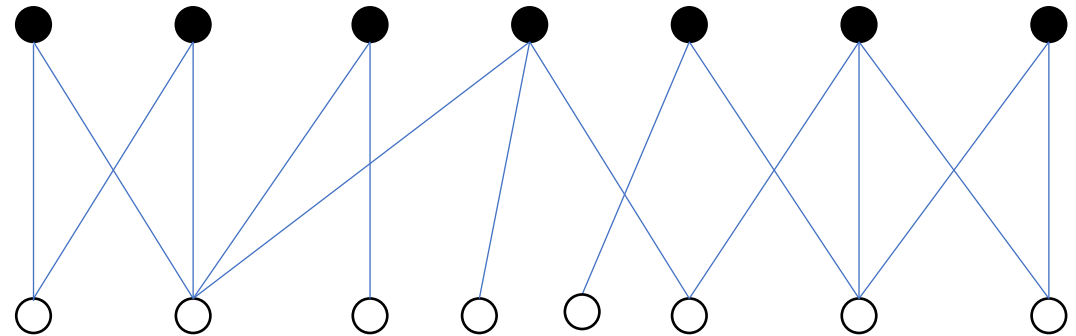
- each internal face has at most 2 vertices
- the external face contains all the vertices
- we can restrict our attention to connected graphs

# 2-layer drawings – Density results for $k = 1, 2$

1<sup>+</sup>-real face drawing

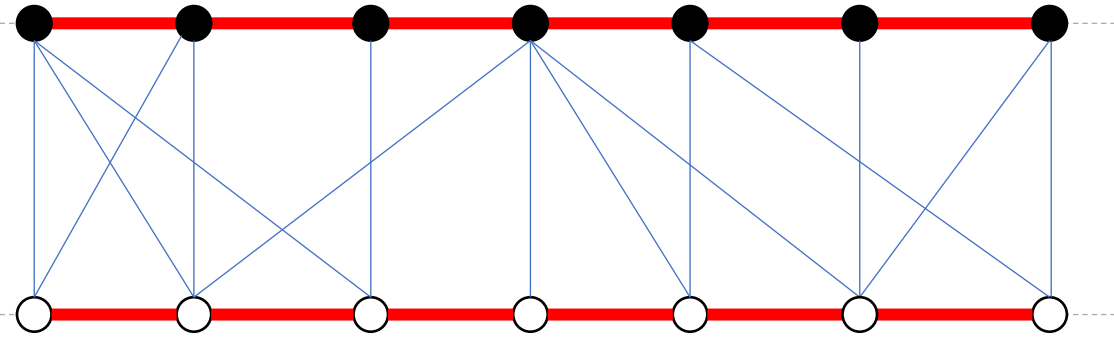


2<sup>+</sup>-real face drawing



# 2-layer drawings – Density results for $k = 1, 2$

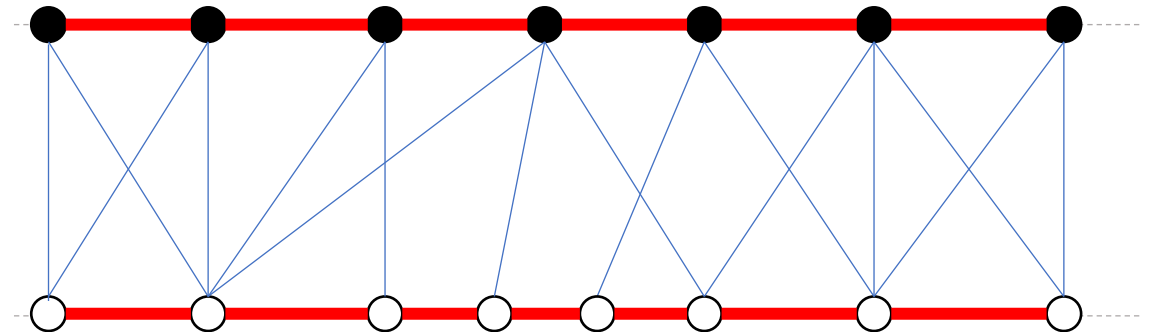
1<sup>+</sup>-real face drawing



adding  **$n-2$**  edges the drawing remains **outer 1<sup>+</sup>-real face**

adding  **$n-2$**  edges the drawing remains **outer 2<sup>+</sup>-real face**

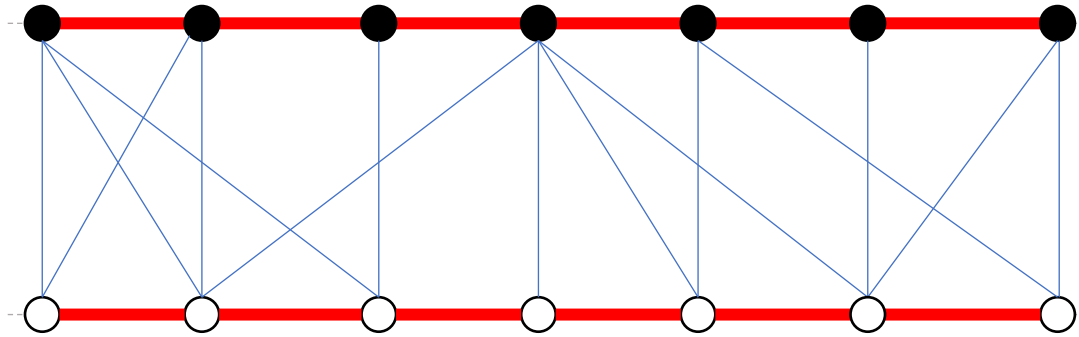
2<sup>+</sup>-real face drawing





# 2-layer drawings – Density results for $k = 1, 2$

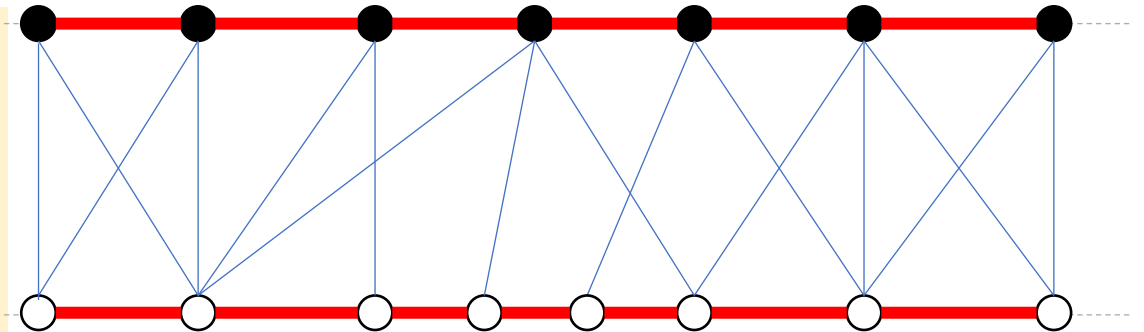
1<sup>+</sup>-real face drawing



outer 1<sup>+</sup>-real face graphs have at most  $3n-6$  edges  $\Rightarrow$  2-layer 1<sup>+</sup>-real face graphs have at most  $3n-6-(n-2) = 2n-4$  edges

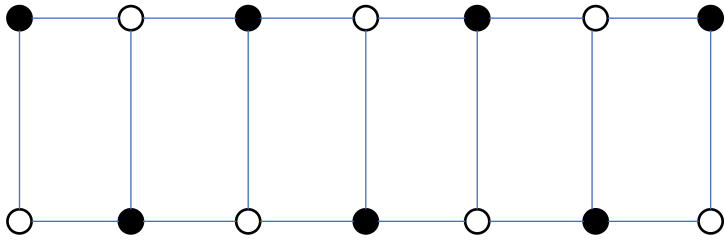
outer 2<sup>+</sup>-real face graphs have at most  $2.5n-4$  edges  $\Rightarrow$  2-layer 2<sup>+</sup>-real face graphs have at most  $2.5n-4-(n-2) = 1.5n-2$  edges

2<sup>+</sup>-real face drawing



# 2-layer drawings – Density results for $k = 1, 2$

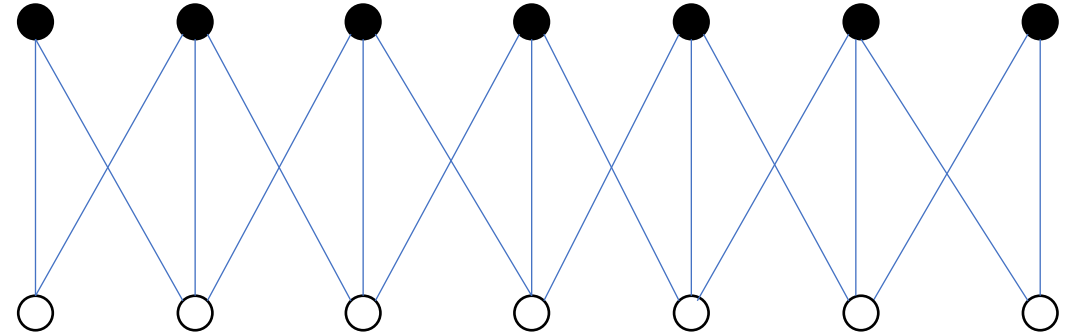
optimal  $2^+$ -real face graph ( $1.5n-2$  edges)



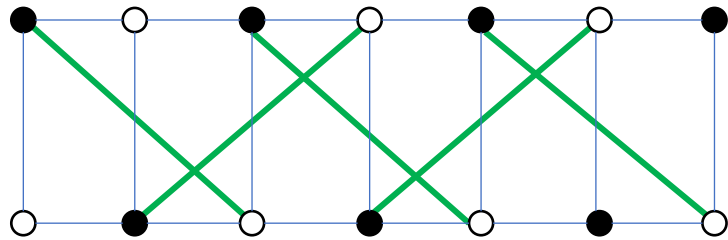
ladder



$2^+$ -real face drawing



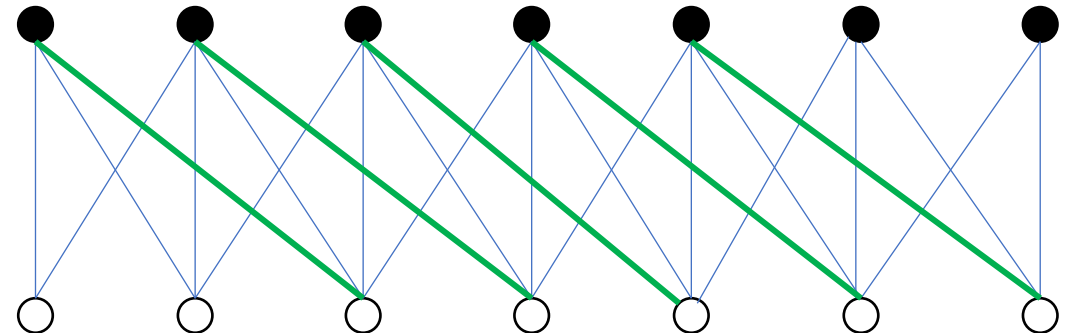
optimal  $1^+$ -real face graph ( $2n-4$  edges)



enriched ladder

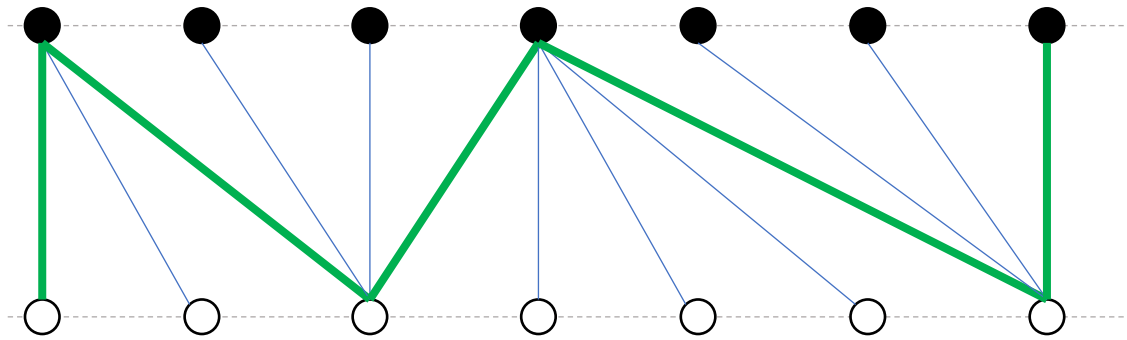


$1^+$ -real face drawing

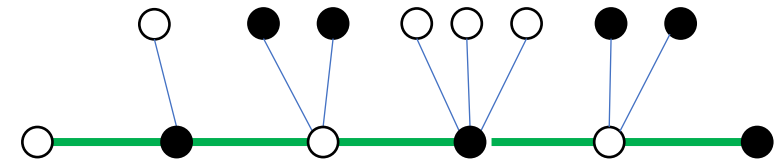


# 2-layer drawings – Density results for $k \in [3, n]$

$k^+$ -real face graph ( $n-1$  edges)



2-layer drawing



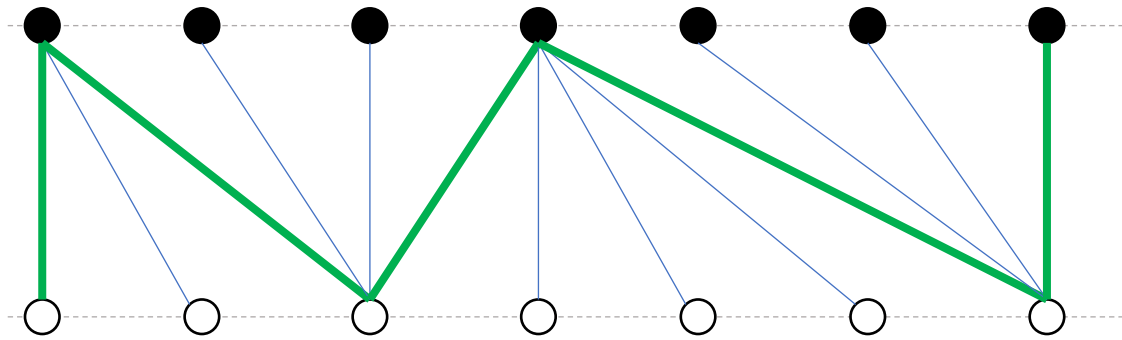
caterpillar

2-layer  $k^+$ -real face drawing  $\Leftrightarrow$  planar  $\Leftrightarrow$  caterpillar [Eades, McKay, Wormald, '96]

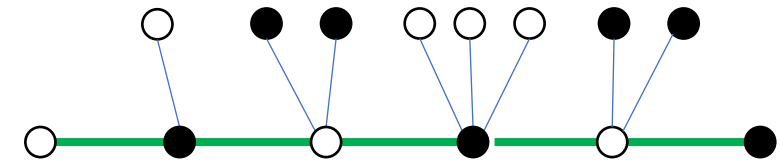


# 2-layer drawings – Density results for $k \in [3, n]$

$k^+$ -real face graph ( $n-1$  edges)



2-layer drawing

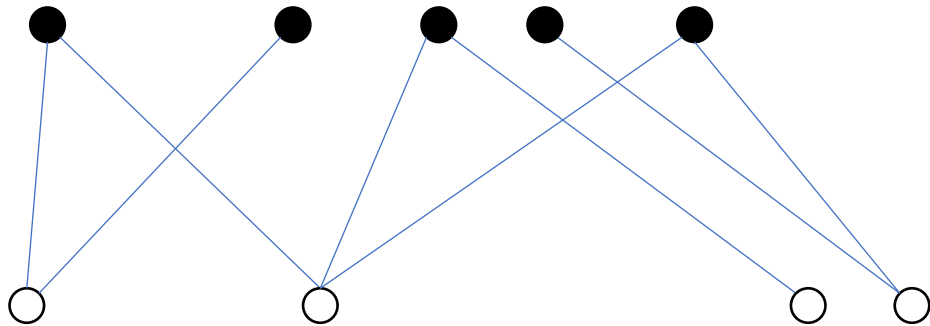


caterpillar

this immediately leads to an  $O(n)$ -time recognition algorithm for  $k \geq 3$

# Recognition of 2-layer $2^+$ -real face graphs

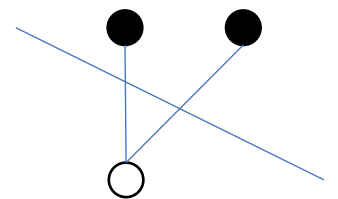
- There is a relationship between 2-layer  $2^+$ -real face embeddings and 2-layer RAC embeddings
- **2-layer RAC drawing**



right angle crossings

a 2-layer embedding is RAC drawable  $\Leftrightarrow$  it has no fan crossings

[Di Giacomo, D., Eades, Liotta 2014]

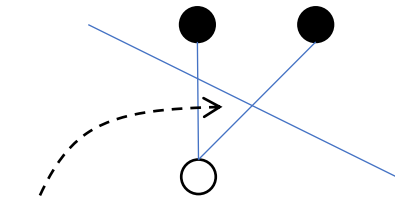


fan crossing

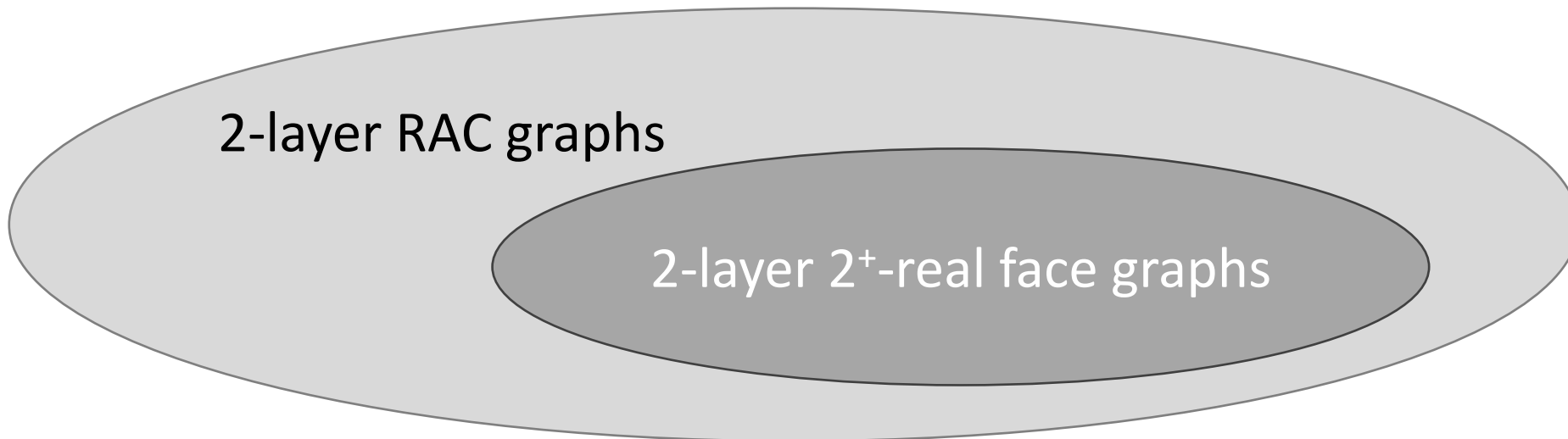
# Recognition of 2-layer $2^+$ -real face graphs

- There is a relationship between 2-layer  $2^+$ -real face embeddings and 2-layer RAC embeddings

any 2-layer  $2^+$ -real face embedding is also RAC

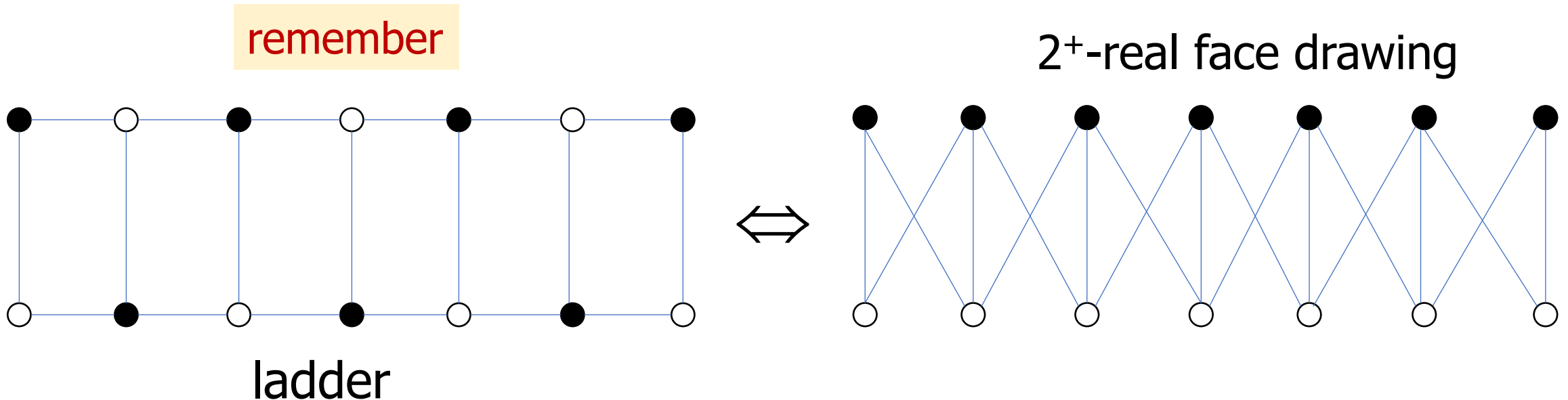


internal face with 1 vertex only



# 2<sup>+</sup>-real face graphs – the biconnected case

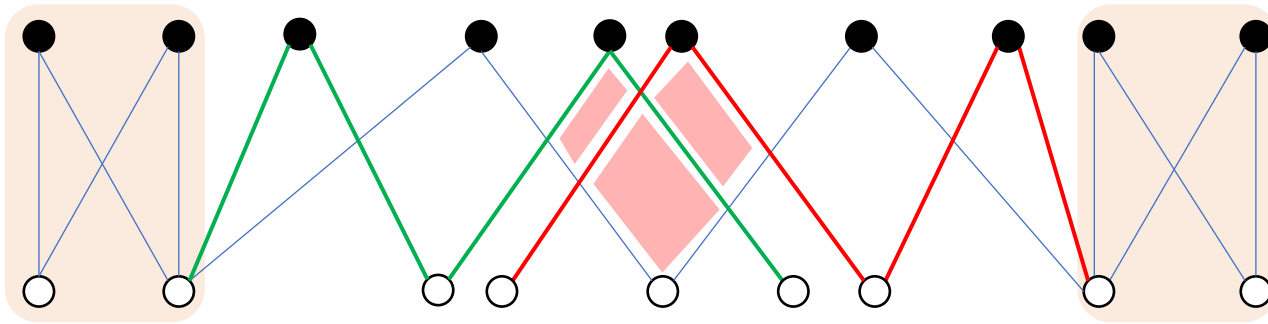
Biconnected 2-layer 2<sup>+</sup>-real face graph  $\Leftrightarrow$  biconnected 2-layer RAC graphs  $\Leftrightarrow$  spanning subgraph of a ladder



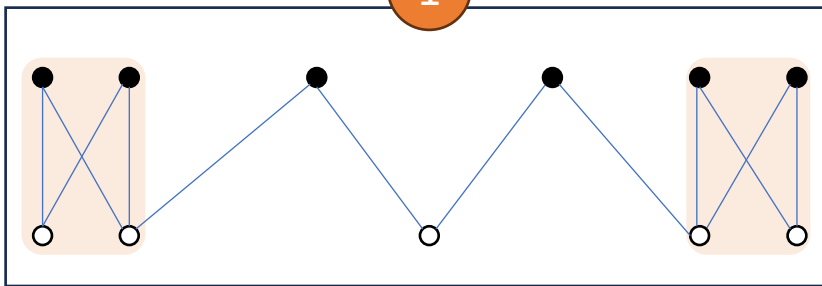
biconnected 2-layer 2<sup>+</sup>-real face graphs can be recognized in  $O(n)$  time  
(using [Di Giacomo, D., Eades, Liotta 2014])

# 2<sup>+</sup>-real face graphs – the 1-connected case

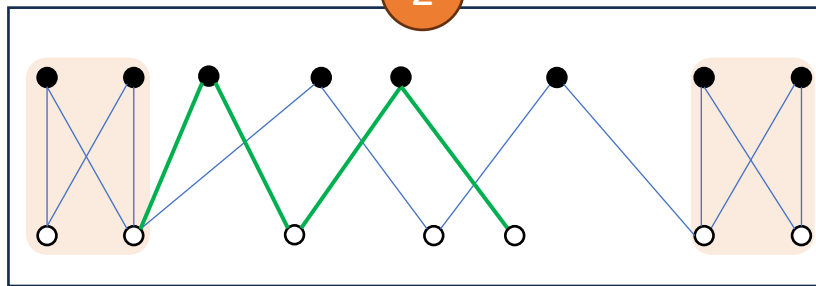
There exist infinitely many 2-layer RAC graphs that are not 2-layer 2<sup>+</sup>-real face



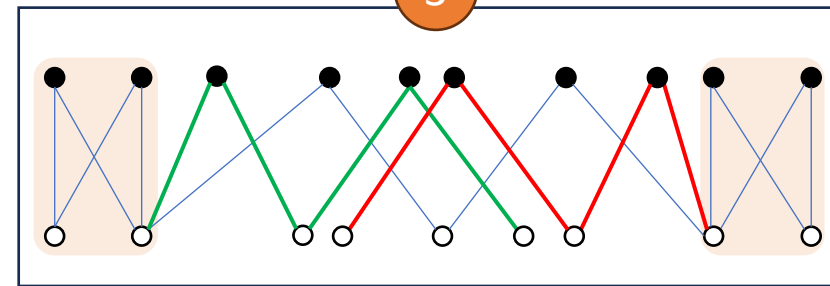
1



2



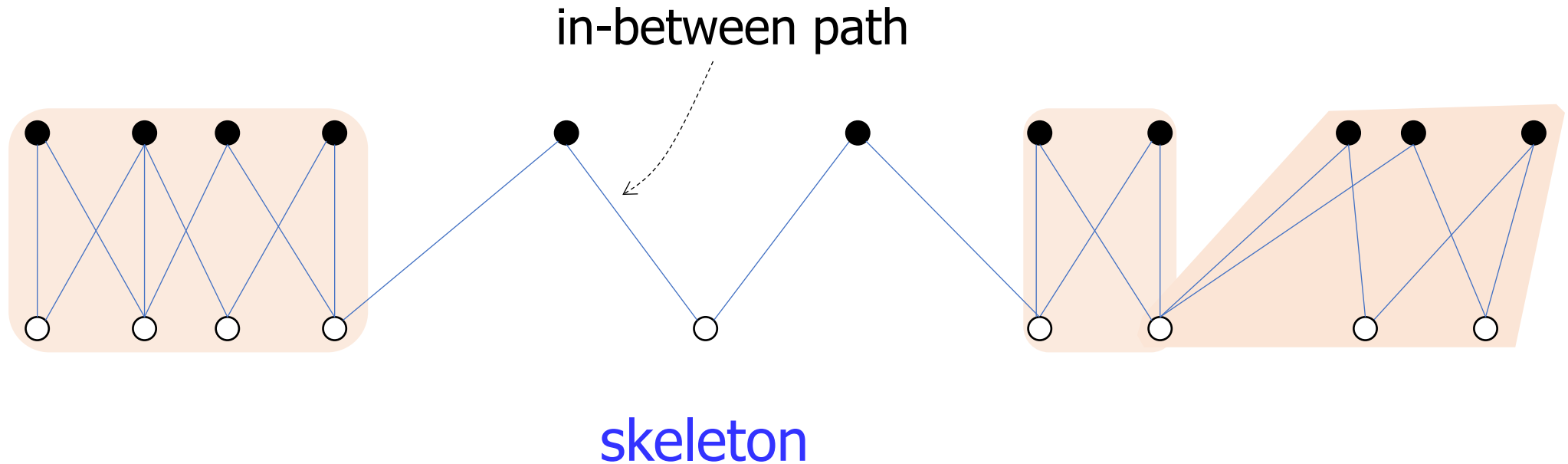
3





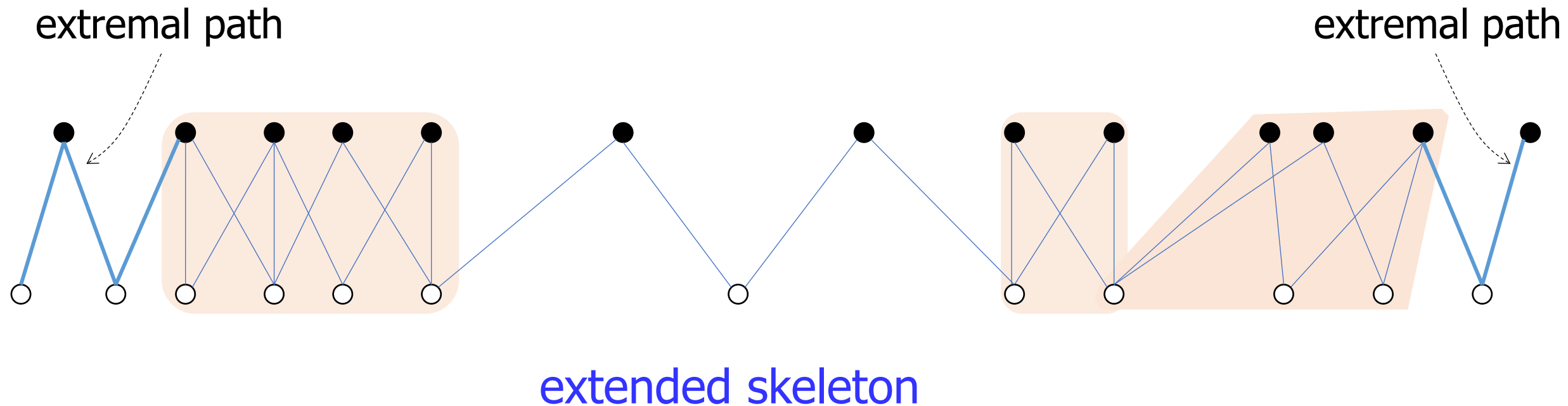
# 2<sup>+</sup>-real face graphs – the 1-connected case

- Structure of a 2-layer RAC embedding



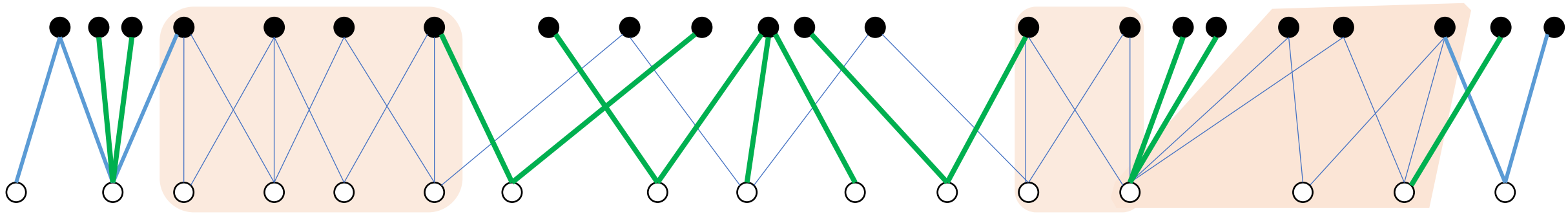
# 2<sup>+</sup>-real face graphs – the 1-connected case

- Structure of a 2-layer RAC embedding



# 2<sup>+</sup>-real face graphs – the 1-connected case

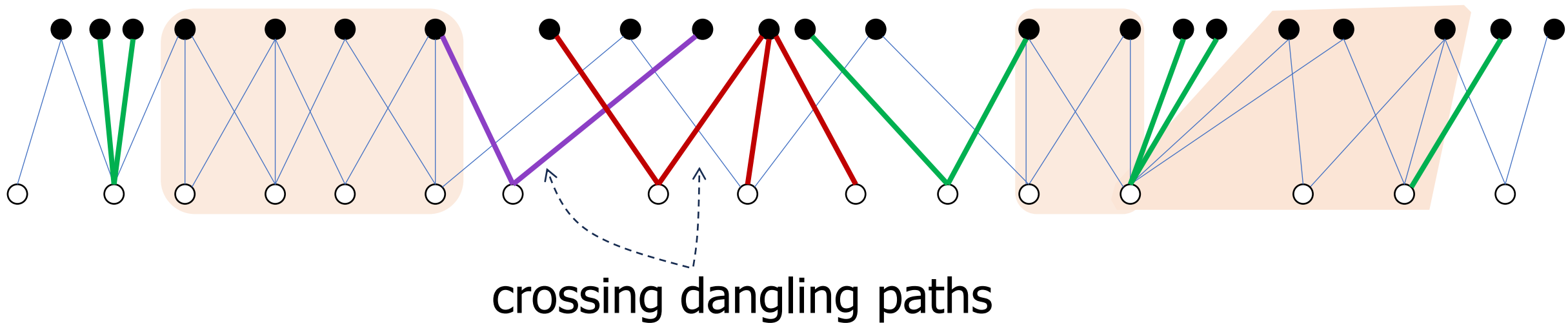
- Structure of a 2-layer RAC embedding



extended skeleton + dangling paths

# 2<sup>+</sup>-real face graphs – the 1-connected case

- Structure of a 2-layer RAC embedding



# 2<sup>+</sup>-real face graphs – the 1-connected case

A 2-layer RAC embedding is 2<sup>+</sup>-real face  $\Leftrightarrow$  it does not contain two crossing dangling paths



# 2<sup>+</sup>-real face graphs – the 1-connected case

A 2-layer RAC embedding is 2<sup>+</sup>-real face  $\Leftrightarrow$  it does not contain two crossing dangling paths

- $O(n)$ -time recognition algorithm

# 2<sup>+</sup>-real face graphs – the 1-connected case

A 2-layer RAC embedding is 2<sup>+</sup>-real face  $\Leftrightarrow$  it does not contain two crossing dangling paths

- $O(n)$ -time recognition algorithm
  - recursively remove all degree-1 vertices to recognize the skeleton (if any, it has a unique RAC embedding)

# 2<sup>+</sup>-real face graphs – the 1-connected case

A 2-layer RAC embedding is 2<sup>+</sup>-real face  $\Leftrightarrow$  it does not contain two crossing dangling paths

- $O(n)$ -time recognition algorithm
  - recursively remove all degree-1 vertices to recognize the skeleton (if any, it has a unique RAC embedding)
  - iteratively add dangling paths attached to in-between paths in a greedy fashion (if fan-crossings or crossings between dangling paths arise reject)

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A 2-layer RAC embedding is 2<sup>+</sup>-real face  $\Leftrightarrow$  it does not contain two crossing dangling paths

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  - recognize and add extremal paths and the attached dangling paths (if possible)

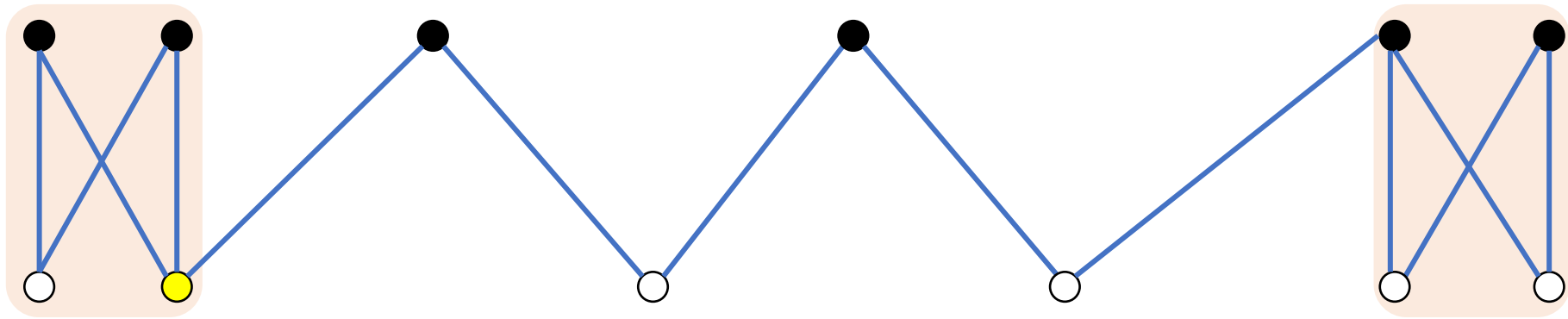
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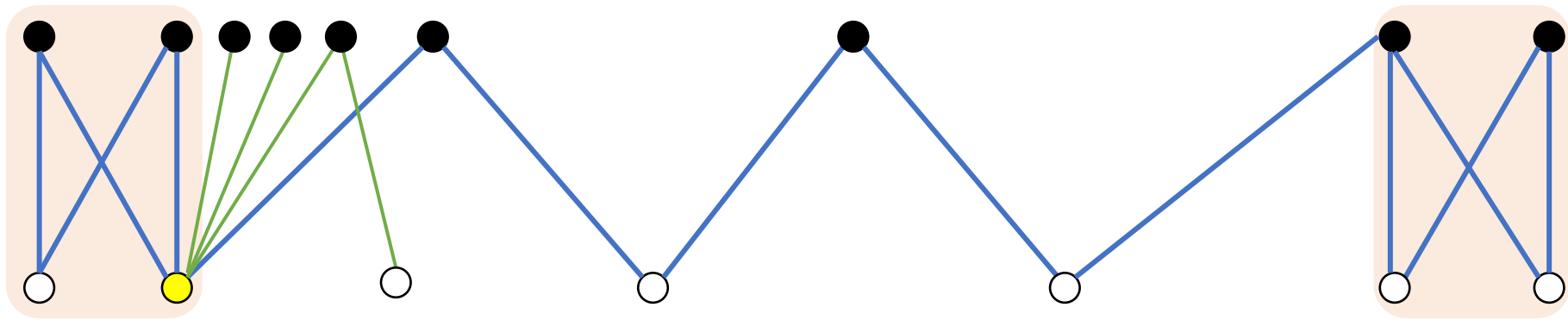
- $O(n)$ -time recognition algorithm
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  - iteratively add dangling paths attached to in-between paths in a greedy fashion (if fan-crossings or crossings between dangling paths arise reject)
  - recognize and add extremal paths and the attached dangling paths (if possible)
- The different steps exploit variants of linear-time algorithms in [Di Giacomo, D., Eades, Liotta 2014]



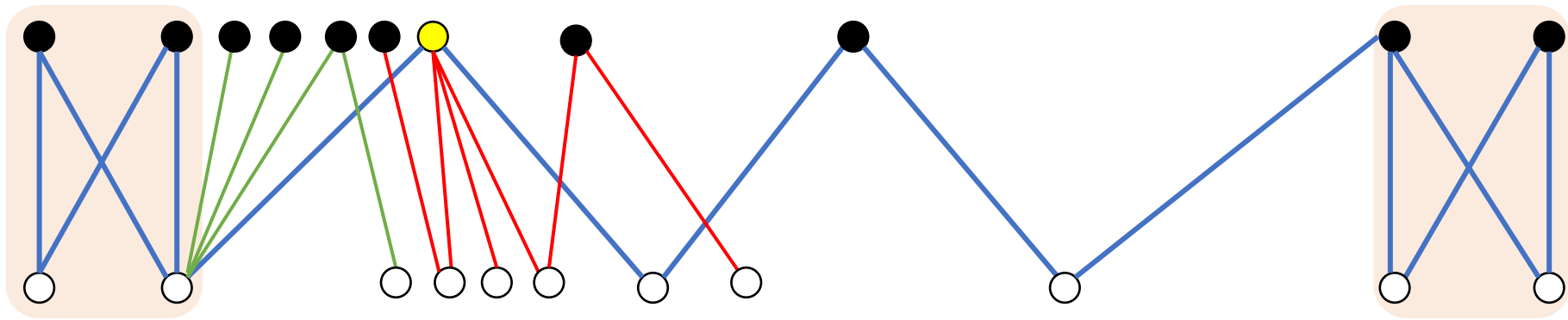
# Example of the greedy strategy



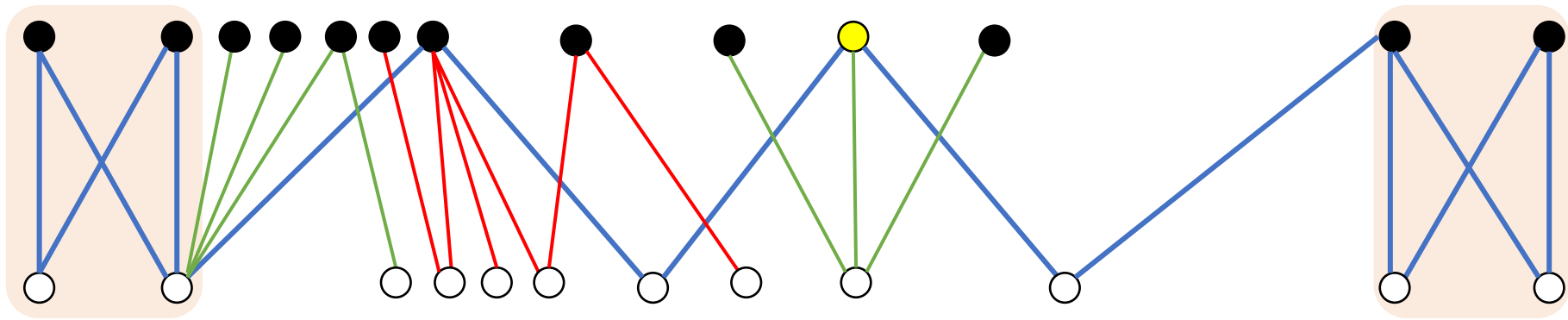
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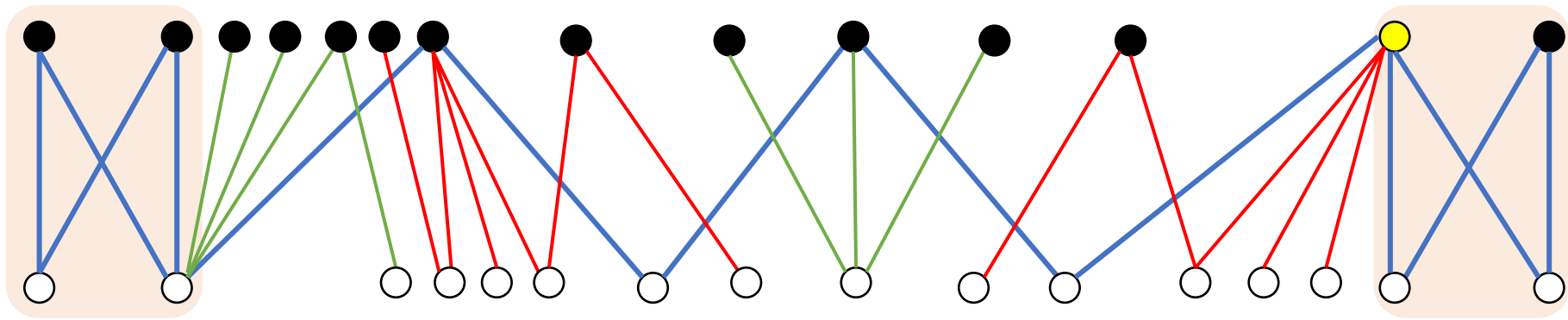
# Example of the greedy strategy



# Example of the greedy strategy



# Example of the greedy strategy



# Open Problems

- Can we efficiently recognize 2-layer  $1^+$ -real face graphs?
  - We have preliminary results ... we are optimistic
- What is the complexity of recognizing *outer*  $k^+$ -real face graphs?
- In the unconstrained scenario, are there subfamilies of  $k^+$ -real face graphs that can be recognized efficiently?
- What about parameterized algorithms for the unconstrained scenario?
  - note that the problem is neither FPT nor XP with parameter  $k$



Thanks for your attention!

# Motivations and Observations

- Theoretical motivations
  - extension of planar drawings with face sizes above a desired threshold
  - generalization to non-planar graphs of the classical guarding planar graph problem (vertices that cover faces)
- Practical motivation
  - faces consisting of crossing points only might be less readable

